



*SJW*

**PATENT**  
Attorney Docket No. UM-08477

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Wang, et al.  
Serial No.: 10/729,156  
Filed: 12/05/2003  
Entitled: **Small molecule antagonists of BCL-2 family proteins**

Group No.: 1617  
Examiner: Hui, S.

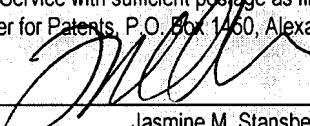
**TRANSMITTAL OF SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

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Date: June 20, 2007

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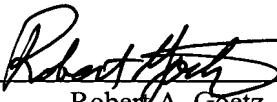
Jasmine M. Stansberry

Sir or Madam:

Enclosed please find a **Supplemental Information Disclosure Statement** and Form PTO-1449, in the above-identified application, for filing in the U.S. Patent Office.

Applicants believe no fee is required. The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: June 20, 2007

  
\_\_\_\_\_  
Robert A. Goetz  
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**Attorney Docket No.: UM-08477**

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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT**

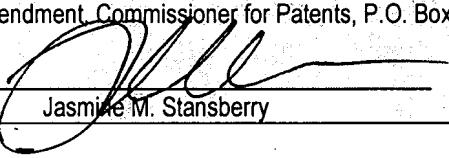
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Jasmine M. Stansberry

Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. § 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- US Application Serial No.: 10/806,088, Published 03/22/2004, Mary R. Flack
- Office Communication Mailed 12/21/2004
- Office Communication Mailed 10/03/2005
- Office Communication Mailed 05/01/2006
- Office Communication Mailed 08/04/2006
- Office Communication Mailed 01/16/2007
- Office Communication Mailed 03/19/2007

**PATENT**  
**Attorney Docket No.: UM-08477**

- US Patent No.: 6,114,397, Issued 09/05/2000, Mary R. Flack
- US Patent No.: 5,385,936, Issued 01/31/1995, Mary R. Flack
  
- US Application Serial No.: 11/581,734, Filed 10/16/2006, Mary R. Flack
  - Office Communication Mailed 03/22/2007

Applicants wish to bring to the examiners attention that we are not providing copies of US Patents as instructed under C.F.R. 1.98(a)(2)

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: 6-20-07

  
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~~AF~~ Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

<b><i>Complete if Known</i></b>	
Application Number	10/729,156
Filing Date	12/05/2003
First Named Inventor	Wang, et al.
Art Unit	1617
Examiner Name	Hui, S.
Attorney Docket Number	UM-08477

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		<b>Complete if Known</b>			
		<b>Application Number</b>	10/729,156		
		<b>Filing Date</b>	12/05/2003		
		<b>First Named Inventor</b>	Wang et al.		
		<b>Art Unit</b>	1617		
		<b>Examiner Name</b>	Hui, S.		
Sheet	2	of	2	Attorney Docket Number	UM-08477

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	3	U.S. Application Serial No.: 10/806,088 - Office Communication Mailed 12/21/2004	
	4	U.S. Application Serial No.: 10/806,088 - Office Communication Mailed 10/03/2005	
	5	U.S. Application Serial No.: 10/806,088 - Office Communication Mailed 05/01/2006	
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	8	U.S. Application Serial No.: 10/806,088 - Office Communication Mailed 03/19/2007	
	9	U.S. Application Serial No.: 11/581,734 - Office Communication Mailed 03/22/2007	

Examiner Signature	Date Considered
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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